



Whistleblowing Policy

For the following:

**Cardinal Newman Catholic Primary School
Holy Family Catholic Primary School
St Alban's Catholic Primary School
St Anne's Catholic Primary School
St Augustine Catholic Primary School
St Charles Borromeo Catholic Primary School
St Cuthbert Mayne Catholic Primary School
St Hugh of Lincoln Catholic Primary School
St John the Baptist Secondary Catholic School
St Polycarp's Catholic Primary School
St Thomas of Canterbury Catholic Primary School
St Peter's Catholic School
Salesian Catholic Secondary School
The Marist Catholic Primary School
Teach SouthEast**

This Whistleblowing Policy has been approved and adopted by the Xavier Catholic Education Trust in March 2019 and will be reviewed in January 2021.

Committee Responsible: Finance Committee

Xavier Catholic Education Trust Mission Statement

Our mission is to provide an outstanding Catholic education for all the children in our schools. We will follow the example and teachings of Christ and everything we do will be inspired by gospel values. We will strive for excellence in all areas of our work and cherish every child in our care.

1. Introduction & Purpose of Policy

Xavier Catholic Education Trust (Xavier CET) and its schools are committed to the highest possible standards of honesty, openness, probity and accountability. It seeks to conduct its affairs in a responsible manner, to ensure that all its activities are openly and effectively managed, and to ensure that the principles of integrity and of public interest disclosure are sustained.

In line with that commitment, all members of staff and those working on behalf of the School who have serious concerns about any aspect of the School's work are encouraged to come forward and voice those concerns to their immediate manager and/or the Headteacher or the Local Governing Committee. If the concern is about the Local Governing Committee, you should voice your concerns with the Xavier CET.

Staff not only have the right but also a duty to report any improper actions or omissions, particularly where the welfare of young people may be at risk. Where any member of staff decides to report a serious incident within the scope of this policy, whether anonymously or otherwise, this will be treated as a 'protected' disclosure. Staff members should feel reassured that they could raise concerns in accordance with this policy without fear of victimisation, subsequent discrimination or disadvantage.

This policy aims to:

- Encourage staff to feel confident in raising serious concerns and to question and act upon concerns about practice;
- Provide avenues to raise those concerns and receive feedback on any action taken;
- Ensure that staff receive a response to their concerns and that they are aware of how to pursue them if they are not satisfied;
- Reassure staff that they will be protected from possible reprisals or victimisation if they have made any disclosure in good faith

Xavier CET is mindful of its obligations under the Equality Act 2010 and this policy will be applied fairly and consistently to all staff employed at each school as well as those carrying out work for the School, for example, Governors, volunteers, agency workers, contractors or consultants. The term 'member(s) of staff' is used in this document for simplicity but is intended to include this broader range of individuals covered by this policy.

2. Scope of the Policy

There are existing procedures in place to enable employees to lodge a grievance relating to their own employment. The Whistleblowing Policy is intended to cover serious concerns that may fall outside the scope of other procedures, in accordance with the Public Interest Disclosure Act 1998. These include:

- Conduct which is an offence or a breach of law
- Failure to comply with a legal obligation
- Disclosures related to miscarriages of justice
- Health and safety risks, including risks to the public or pupils as well as other staff
- Damage to the environment
- Information relating to the above issues that has been, or is likely to be, deliberately concealed.

Examples of the above categories are likely to include:

- The unauthorised use or misuse of public funds
- Possible fraud and corruption
- Sexual, physical or psychological abuse of pupils at the school
- Harassment & bullying of staff
- Breaches of codes of conduct
- Malpractice in examinations and assessments

Therefore, any serious concerns that a member of staff has about any aspect of the School's service provision, or the conduct of staff, or others connected with the School, can be reported under this Whistleblowing Policy where that member of staff has a reasonable belief in the validity of those concerns and they relate to one of the specified areas set out above. A member of staff who makes such a protected disclosure has the right not to be dismissed, subjected to any other detriment, or victimised because he/she has made a disclosure.

3. Key Points About Raising Concerns

3.1 Safeguarding Against Harassment or Victimisation

It is recognised that the decision to report a concern can be a difficult one to make. Xavier CET will take a zero tolerance approach to any act of harassment or victimisation (including informal pressures) resulting from a member of staff raising a concern in good faith, and will handle any such allegations in accordance with relevant Xavier CET policies and procedures.

When a concern is raised, Xavier CET will support the member of staff making an allegation within the scope of this policy providing that he/she:

- believes the concern to be true
- is not acting maliciously or making false allegations
- is not seeking any personal gain.

3.2 Unsubstantiated Allegations

If a member of staff makes an allegation in good faith but it is not confirmed by the investigation, no action will be taken against him/her. If, however, an allegation is found to have been made frivolously, maliciously or for personal gain, disciplinary action is likely to be taken.

3.3 Confidentiality

All concerns will be treated in confidence but, at the appropriate time, the whistleblower may be asked to come forward as a witness and this will be discussed with him/her.

3.4 Anonymous Allegations

This policy encourages staff to put their name to their allegation wherever possible.

The School will take all concerns raised seriously. Concerns expressed anonymously are much less powerful but will still be given consideration at the discretion of Xavier CET. In exercising this discretion, Xavier CET would consider the seriousness of the issues raised, the credibility of the concern, and the likelihood of confirming the allegation from sources which can be attributed.

4. How to Raise a Concern

As a first step, a member of staff should normally raise concerns with his/her immediate line manager, with the Headteacher, or with the School's Designated Safeguarding Lead (DSL) where this is appropriate to the nature of the concern. If the allegations involve the Headteacher, the member of staff should raise the matter with the Xavier CET Chief Executive Officer (CEO). If the allegations involve the CEO, the member of staff should raise the matter with the Xavier CET Board of Directors

While the School encourages members of staff to raise their concerns internally, it is recognised that some staff may feel unable to do this and that they may therefore wish to contact an independent, external organisation.

Concerns may be raised verbally or in writing, but the earlier the concern is expressed the easier it is to take any required action. Members of staff who wish to make a written report are encouraged to include the following information:

- The background and history of the concern, giving relevant dates and providing as much supporting evidence as possible;
- The reason(s) why they are particularly concerned about the situation.

Where a concern is raised verbally, the person hearing it must ensure that a written account of it is made to assist with any subsequent investigation. School management will take all concerns raised within the scope of this policy seriously and identify the appropriate level of investigation.

The whistleblower may invite a recognised Trade Union representative or a work colleague to be present during any meetings or interviews held in connection with the concerns raised.

5. How the School Will Respond

5.1 Initial Enquiry

In order to protect the individuals involved, initial enquiries will be made to decide whether an investigation is appropriate and, if so, what form it should take and who should be appointed to conduct it. The overriding principle is that of the public interest. If urgent action is required, for example if the welfare of pupils may be at risk, this action will be taken before any investigation is conducted. Further to the results of this enquiry, the following steps will be considered:

- Concerns or allegations which fall within the scope of specific procedures, eg, child protection, bullying or harassment or disciplinary, will normally be referred for investigation and consideration under those procedures.
- Where there are any concerns about financial impropriety or criminal activity, the concern will be referred to an independent body before taking any other action, which may include reporting to the police.
- Concerns indicating unlawful financial activity should be reported to the EFA.
- Suspected incidents of malpractice relating to examinations will be reported to the appropriate awarding body at the earliest opportunity.
- In other cases, an impartial investigator may be appointed and the School will seek advice from the LEO/EFA.

5.2 Communication

Within ten working days of a concern being raised, the person who is dealing with the concern will respond in writing to the whistleblower directly. The response will:

- Acknowledge receipt of the concern
- Indicate how the School proposes to deal with the matter
- Give an estimate of how long it may take to provide a final response
- Advise whether any initial enquiries have been made
- Supply information on any staff support mechanisms (eg, EAP), and
- Advise whether further investigation or action is required, and if not, why not.

5.3 Investigation

Once preliminary enquiries have established the need for an investigation, an appropriate person will be appointed to conduct the investigation (see section 5.1). The person appointed to undertake the investigation is responsible for establishing the facts of the matter, in so far as it is reasonably possible to do so, and for assessing whether the concern

has foundation and can be resolved internally. Other people may need to be interviewed to provide further information and/or clarification concerning the issue(s) raised.

Written records of all interviews will be kept throughout the investigation together with details of any action taken. The investigation will result in a written report and recommendations for corrective action, which will be passed to the Headteacher and/or the Chair of the Xavier CET, as appropriate to the concerns under consideration, to determine whether formal action shall be taken.

The member of staff raising the concern will, subject to legal constraints, be advised in writing of the outcome of the investigation and, where appropriate, what action is being taken. This may, for example, include changes to working practices to ensure that a similar situation does not occur again.

6. Taking the Matter Further

This policy is intended to provide members of staff with an avenue to raise concerns internally. If the member of staff feels that it is right to take the matter outside the School, contact can be made with a recognised Trade Union, local Citizens Advice Bureau, relevant voluntary or independent organisations, or legal advisor.

The Public Interest Disclosure Act also sets out a number of bodies to which protected disclosures can be made, including HM Revenue & Customs, the FSA, the Health and Safety Executive and the Serious Fraud Office. Employees should be aware that going directly to the press may limit their protection under the Public Interest Disclosure Act and they could therefore be subject to disciplinary action. An employee considering such a course of action is strongly advised to seek prior advice from his/her Trade Union or an independent organisation such as Public Concern at Work (www.pcaaw.co.uk).

7. Monitoring & Reporting

Xavier CET is responsible for overseeing the operation of this policy and for ensuring that appropriate records are maintained regarding concerns raised and the outcomes. It is also responsible for reporting concerns to other external bodies as appropriate to the circumstances.

Contact Details:

Local School Contacts

Headteacher
Chair of the Local Governing Committee

Xavier Catholic Education Trust

Chief Executive Officer : Ani Magill
Chair of the Board of Directors : Peter O'Brien

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